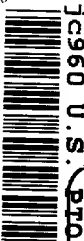


11/30/00



PTO SB/05 (11-00)

12-01-00

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Please type a plus sign (+) inside this box → ☐

Approved for use through 10/31/2002. OMB 0651-0032

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 72880/04795
First Inventor Anderson, et al.
Title HIGH CONTRAST REFLECTIVE LIGHT VALVE
Express Mail Label No. EL633610332US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 16]
(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table,
or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 2]
5. ☐ Oath or Declaration [Total Pages 2]
a. ☒ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,
or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. _____/_____

Prior application information

Examiner _____

Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under
Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label

23380

(Insert Customer No. or Attach bar code label here)

or ☐

Correspondence address below

Name

James C. Scott, Esq.

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Telephone

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Fax

696-2645

Name (Print/Type)

James C. Scott

Registration No. (Attorney/Agent)

35,351

Signature

Date

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Washington, DC 20231.

11/30/00



PTO/SB/17 (11-00)

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$ \$1,448

Complete if Known

| | |
|----------------------|------------------|
| Application Number | |
| Filing Date | |
| First Named Inventor | Anderson, et al. |
| Examiner Name | |
| Group Art Unit | |
| Attorney Docket No. | 72880/04795 |

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

Deposit Account Name

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☒ Other

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------|----------------------------|------------------------|----------|
| 101 710 | 201 355 | Utility filing fee | \$710 |
| 106 320 | 206 160 | Design filing fee | \$0 |
| 107 490 | 207 245 | Plant filing fee | \$0 |
| 108 710 | 208 355 | Reissue filing fee | \$0 |
| 114 150 | 214 75 | Provisional filing fee | \$0 |

SUBTOTAL (1) (\$710

2. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 41 | -20** = 21 | \$18 | \$378 |
| 7 | -3** = 4 | \$80 | \$320 |
| Multiple Dependent | | \$0 | \$0 |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description |
|----------------------------|----------------------------|--|
| 103 18 | 203 9 | Claims in excess of 20 |
| 102 80 | 202 40 | Independent claims in excess of 3 |
| 104 270 | 204 135 | Multiple dependent claim, if not paid |
| 109 80 | 209 40 | ** Reissue independent claims over original patent |
| 110 18 | 210 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$698

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------|----------------------------|--|----------|
| 105 130 | 205 65 | Surcharge - late filing fee or oath | \$0 |
| 127 50 | 227 25 | Surcharge - late provisional filing fee or cover sheet | \$0 |
| 139 130 | 139 130 | Non-English specification | \$0 |
| 147 2,520 | 147 2,520 | For filing a request for ex parte reexamination | \$0 |
| 112 920* | 112 920* | Requesting publication of SIR prior to Examiner action | \$0 |
| 113 1,840* | 113 1,840* | Requesting publication of SIR after Examiner action | \$0 |
| 115 110 | 215 55 | Extension for reply within first month | \$0 |
| 116 390 | 216 195 | Extension for reply within second month | \$0 |
| 117 890 | 217 445 | Extension for reply within third month | \$0 |
| 118 1,390 | 218 695 | Extension for reply within fourth month | \$0 |
| 128 1,890 | 228 945 | Extension for reply within fifth month | \$0 |
| 119 310 | 219 155 | Notice of Appeal | \$0 |
| 120 310 | 220 155 | Filing a brief in support of an appeal | \$0 |
| 121 270 | 221 135 | Request for oral hearing | \$0 |
| 138 1,510 | 138 1,510 | Petition to institute a public use proceeding | \$0 |
| 140 110 | 240 55 | Petition to revive - unavoidable | \$0 |
| 141 1,240 | 241 620 | Petition to revive - unintentional | \$0 |
| 142 1,240 | 242 620 | Utility issue fee (or reissue) | \$0 |
| 143 440 | 243 220 | Design issue fee | \$0 |
| 144 600 | 244 300 | Plant issue fee | \$0 |
| 122 130 | 122 130 | Petitions to the Commissioner | \$0 |
| 123 50 | 123 50 | Processing fee under 37 CFR 1.17(q) | \$0 |
| 126 180 | 126 180 | Submission of Information Disclosure Stmt | \$0 |
| 581 40 | 581 40 | Recording each patent assignment per property (times number of properties) | \$40 |
| 146 710 | 246 355 | Filing a submission after final rejection (37 CFR § 1.129(a)) | \$0 |
| 149 710 | 249 355 | For each additional invention to be examined (37 CFR § 1.129(b)) | \$0 |
| 179 710 | 279 355 | Request for Continued Examination (RCE) | \$0 |
| 169 900 | 169 900 | Request for expedited examination of a design application | \$0 |

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$40

SUBMITTED BY

Name (Print/Type) James C. Scott

Registration No (Attorney/Agent)

35,351

Complete (if applicable)

Telephone (216) 696-4494

Signature

Date

11/30/00

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